

# Formal Verification of an Autonomous Wheel Loader by Model Checking

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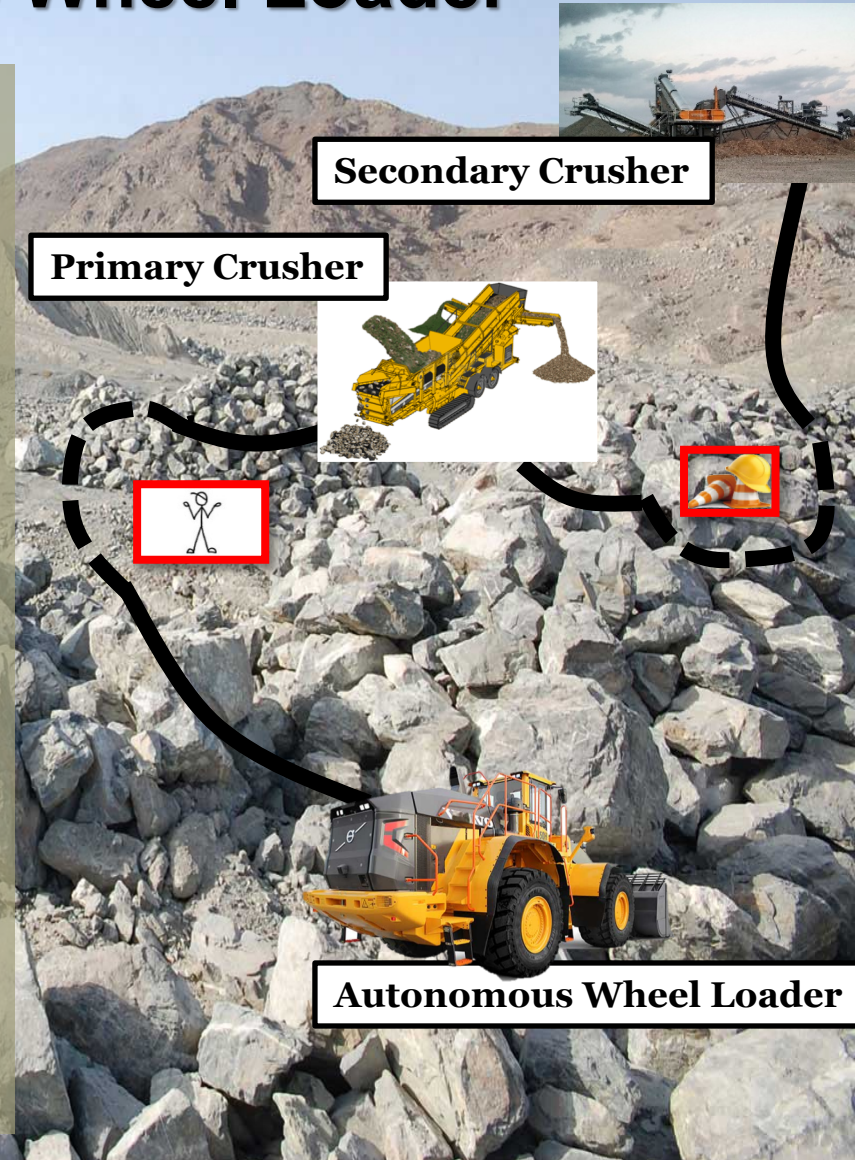
# Use case: Autonomous Wheel Loader

## Autonomous Wheel Loader (AWL)

- (a) A heavy construction vehicle
- (b) Transports material, loads and unloads at crushers
- (c) No human operator on-board
- (d) Works under any condition, e.g., dusty, raining, foggy, and dark environment
- (e) Existing prototype has no intelligence (e.g. collision avoidance) and no dependability guarantees
- (f) Path planning and replanning for autonomous path following and collision avoidance

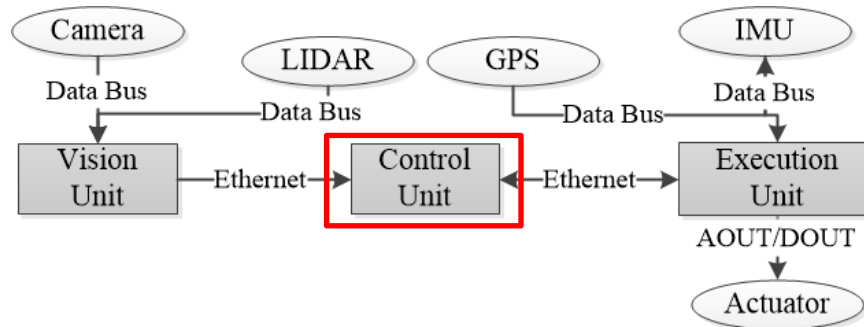
## Requirement

- a) An AWL must calculate the initial path before it starts to move and avoid all kinds of obstacles dynamically as it moves.
- b) Follow the planned path autonomously.
- c) React to errors in the control system timely and correctly .

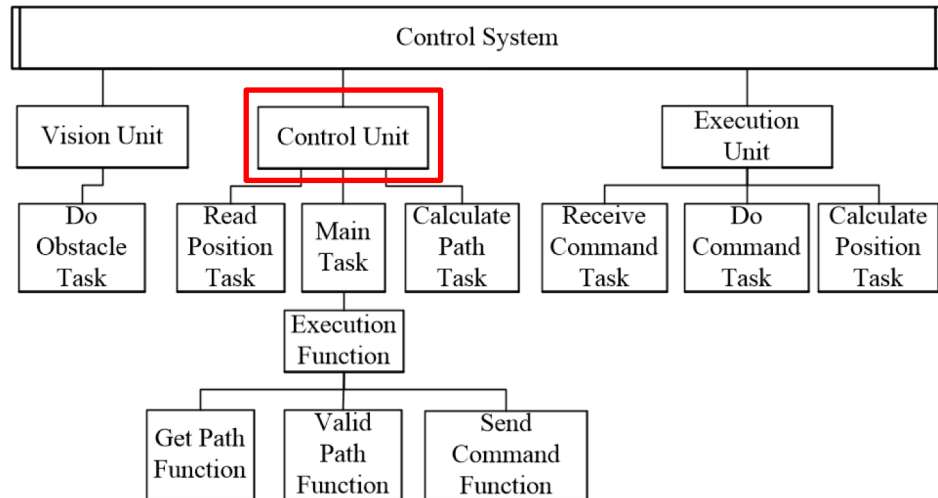


# Use case: Autonomous Wheel Loader

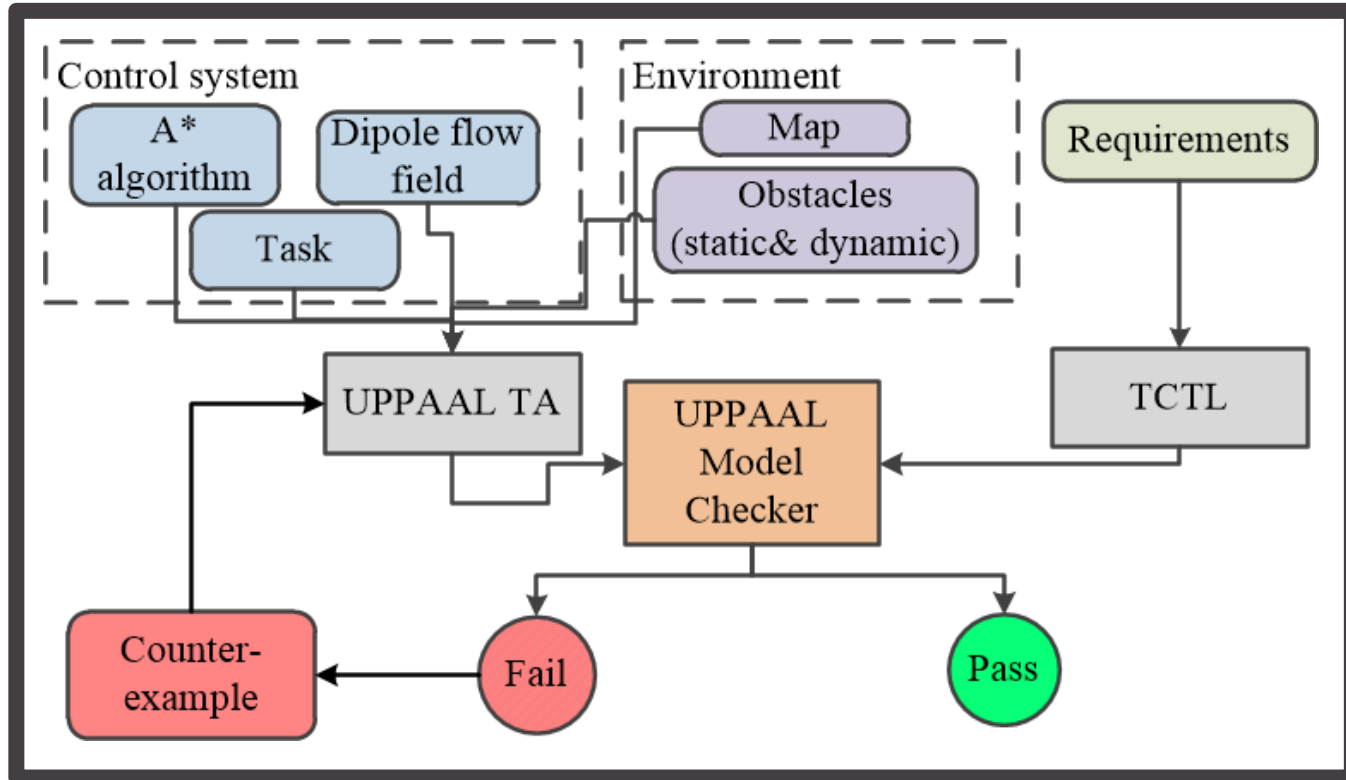
- The architecture of the AWL's control system



- Task allocation in the control system



# Method: Formal Modelling and Verification



- **UPPAAL TA:** UPPAAL Timed Automata
- **TCTL:** Timed Computation Tree Logic

# Preliminaries: Path-planning algorithm – A\* algorithm

- A widely used algorithm for path finding and graph traversal.
- A\* algorithm works in a grid.
- 2-dimensional array (int map[N][N]), 1: walkable, 0: "not walkable".
- A\* algorithm is an extension of Dijkstra's algorithm, finding the shortest path from A to B.

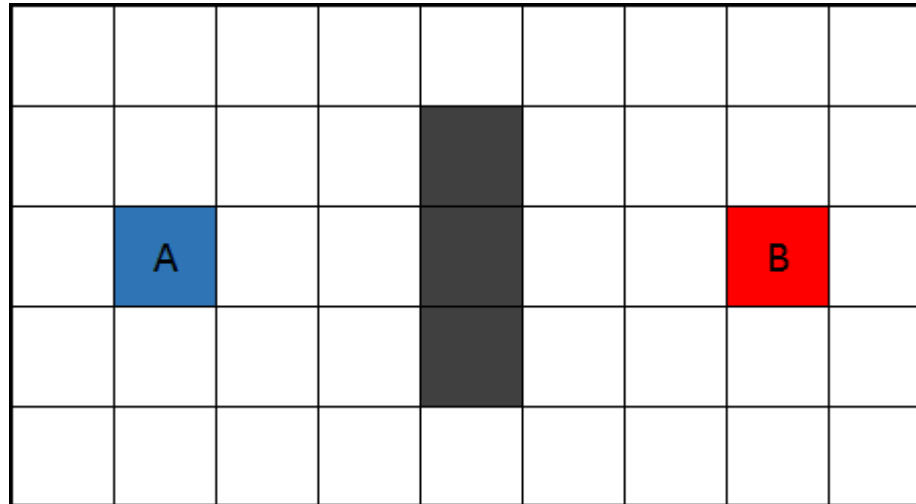


Figure 2. A\* algorithm works in grid.

## Preliminaries: Path-planning algorithm – A\* algorithm

- $F = G + H$ ,
  - G: cost from start to current cell
  - H: estimated cost from current cell to destination
- Manhattan Distance: The simple sum of the horizontal and vertical distance ignoring the “unreachable” cells.

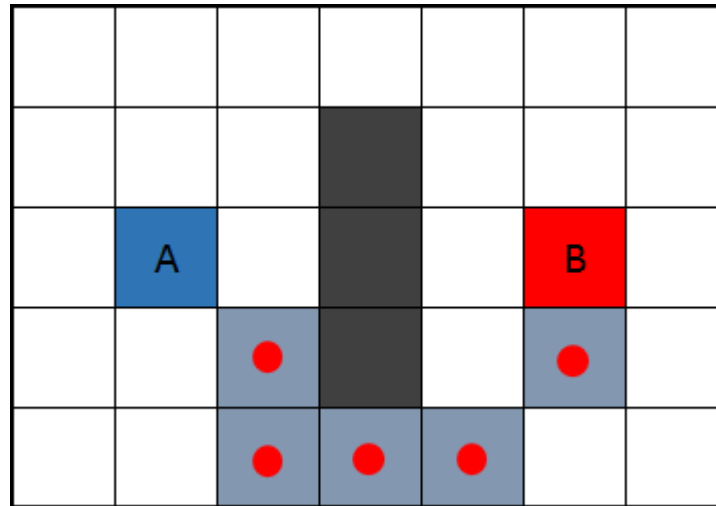


Figure 3. A\* algorithm finds the shortest path.

# Preliminaries: Collision-avoidance algorithm

## Dipole Flow Field: Static Flow Field – avoid static obstacles

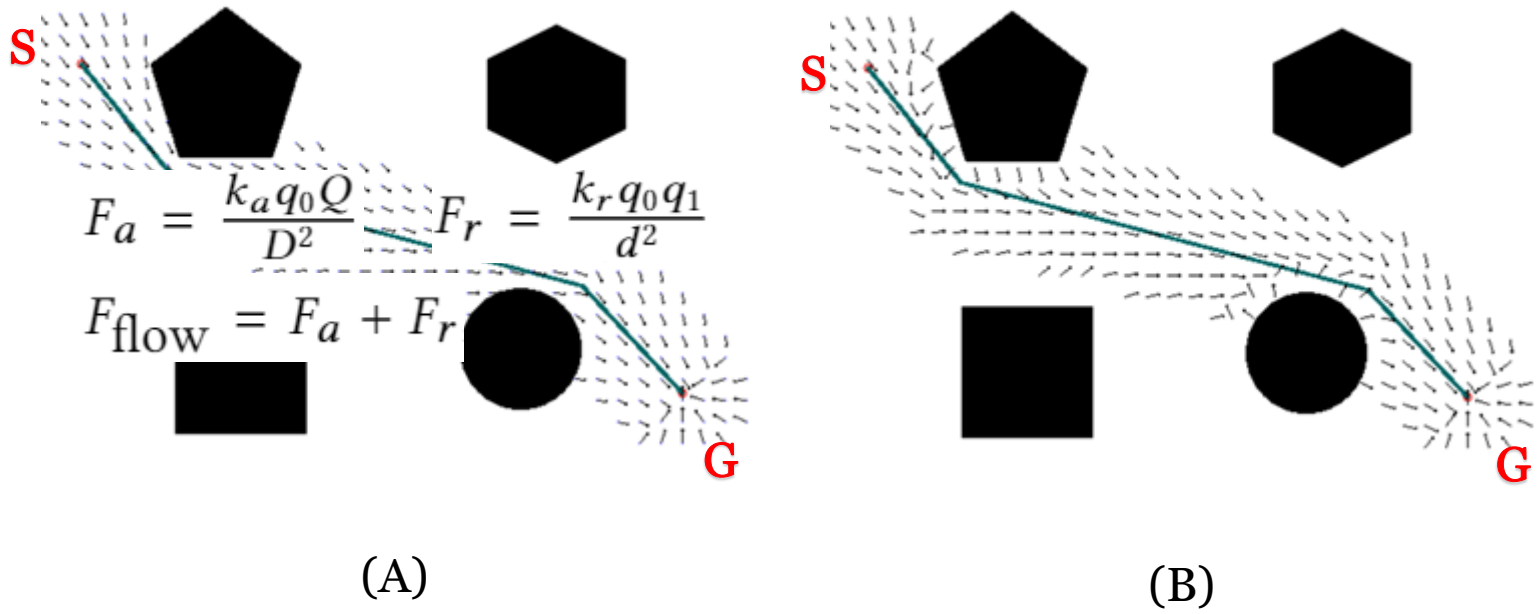


Figure 6. The representation of the static flow field (unity vectors), (A) the initial path with the configured static attractive field, (B) the static flow field with added repulsive force to the obstacles<sup>[1]</sup>.

[1] LanAnh Trinh, Mikael Ekström, and Baran Çürüklü. 2017. Dipole Flow Field for Dependable Path Planning of Multiple Agents. In IEEE/RSJ International Conference on Intelligent Robots and Systems.

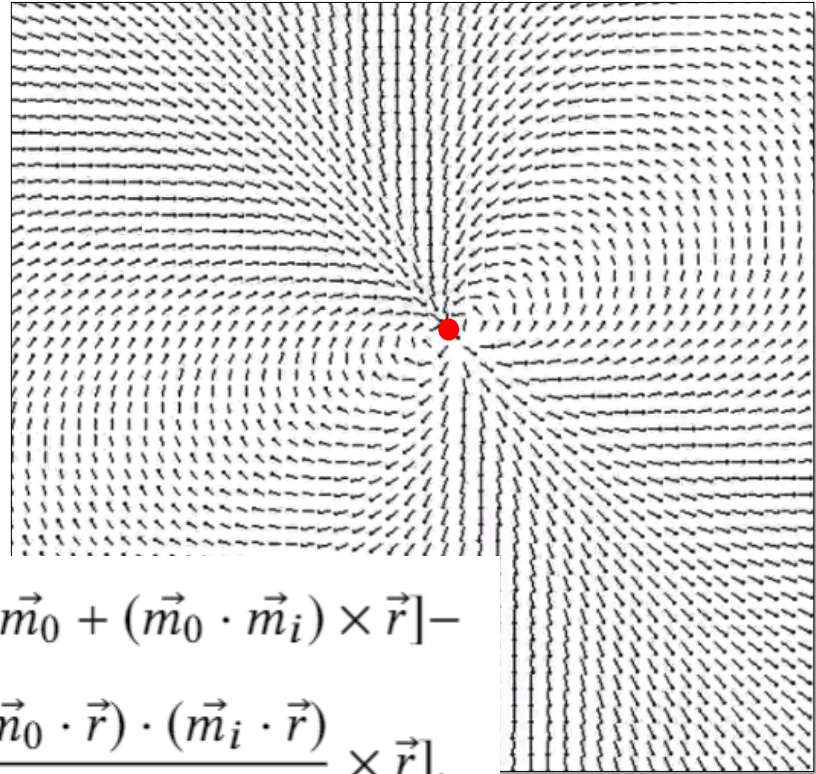
# Preliminaries: Collision-avoidance algorithm

## Dipole Flow Field: Dynamic Dipole Field – avoid dynamic obstacles

- Every object is assumed to be a source of magnetic dipole field.
- The magnitude of the magnetic moment is proportional to the velocity.
- Two moving objects repulse each other when they are close enough.

$$\vec{m} = k_m \vec{v}$$

$$\vec{F}_d = \frac{k_d}{d^5} [(\vec{m}_0 \cdot \vec{r}) \times \vec{m}_i + (\vec{m}_i \cdot \vec{r}) \times \vec{m}_0 + (\vec{m}_0 \cdot \vec{m}_i) \times \vec{r}] - \frac{5 \cdot (\vec{m}_0 \cdot \vec{r}) \cdot (\vec{m}_i \cdot \vec{r})}{d^2} \times \vec{r},$$



a moving object<sup>[1]</sup>.

[1] LanAnh Trinh, Mikael Ekström, and Baran Çürüklü. 2017. Dipole Flow Field for Dependable Path Planning of Multiple Agents. In IEEE/RSJ International Conference on Intelligent Robots and Systems. <http://www.es.mdh.se/publications/4883->



# Preliminaries: Timed automata and UPPAAL

- Timed automata (TA): finite state machines with real-valued clocks
- UPPAAL: A TA-based toolbox for validation and verification of real-time systems.

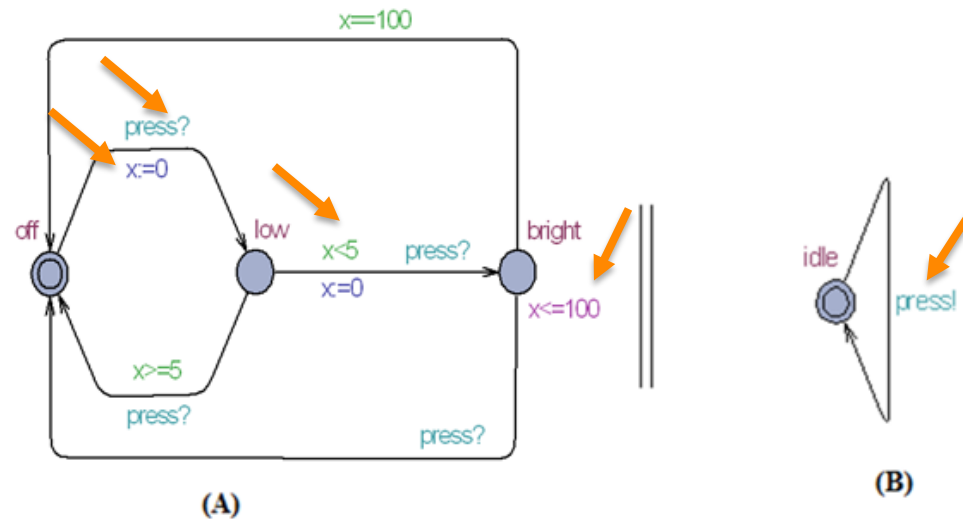


Figure 8. A lamp example of a network of UPPAAL TA

# Preliminaries: Timed Computation Tree Logic

Formalize the natural-language requirements to (Timed) Computation Tree Logic (TCTL) queries, which are in the form:

$E\Diamond p$	There exists a path where $p$ eventually holds
	The shortest/fastest path to the state holding $p$
$A\Box p$	For all paths, $p$ always holds
$A\Diamond p$	For all paths, $p$ will eventually hold
$p \rightarrow_{<=t} q$	For all paths, if $p$ holds then $q$ will eventually hold within $T$ time units.

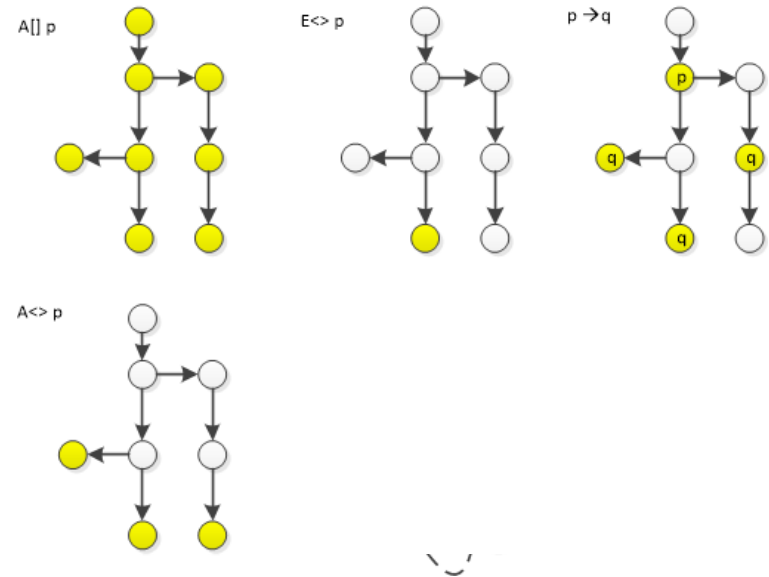
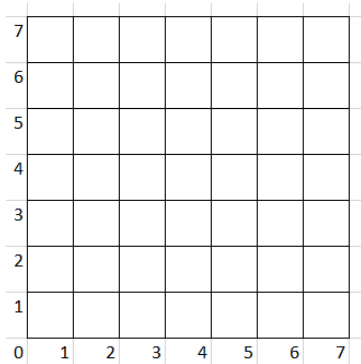


Figure 9: Different types of TCTL queries and their expressions in UPPAAL



# Abstraction of map and movement

- Map Abstraction



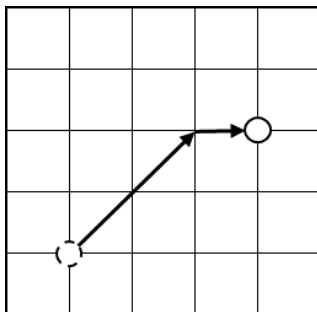
2-D Cartesian grid

$$f_1 : \mathbb{R}_+^2 \rightarrow \mathbb{Z}_+^2 \quad f(x, y) = (z_x, z_y)$$

$$x - \frac{\epsilon}{2} \leq z_x \leq x + \frac{\epsilon}{2}, \text{ and } y - \frac{\epsilon}{2} \leq z_y \leq y + \frac{\epsilon}{2}$$

Map Equation

- Movements Abstraction



Movements of AWL and moving obstacles

$$p = (z_{x_0}, z_{y_0})(z_{x_1}, z_{y_1}) \cdots (z_{x_{n-1}}, z_{y_{n-1}})(z_{x_n}, z_{y_n})$$

$$\begin{cases} z_{x_i} = z_{x_{i-1}} \pm v, \text{ where } x_i \geq 1 \\ z_{y_i} = z_{y_{i-1}} \pm v, \text{ where } y_i \geq 1 \end{cases}$$

Three types of forbidden movements

# Formal model of tasks and algorithms

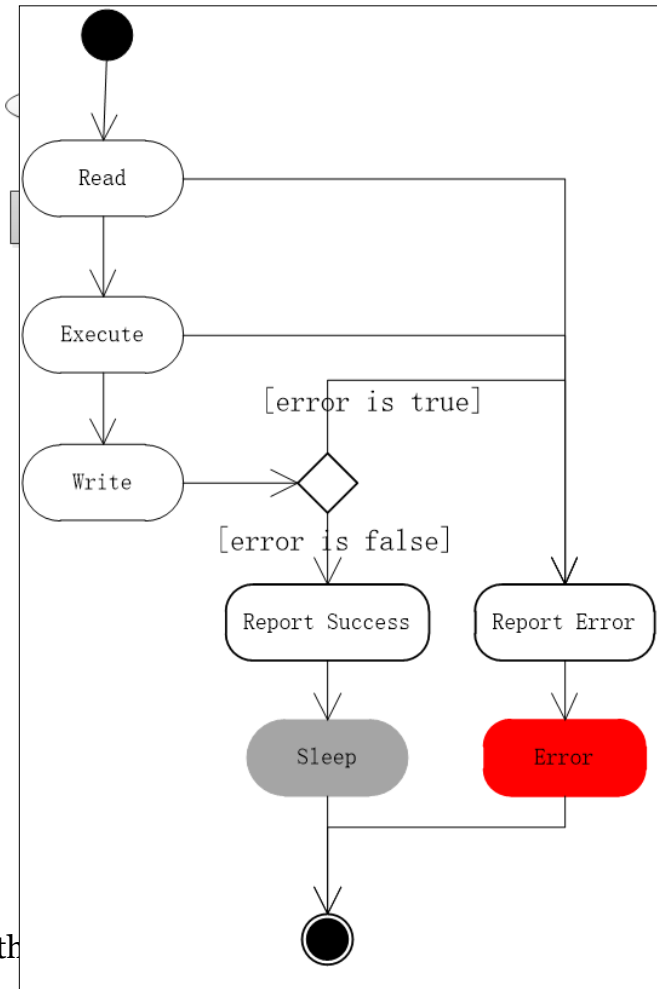
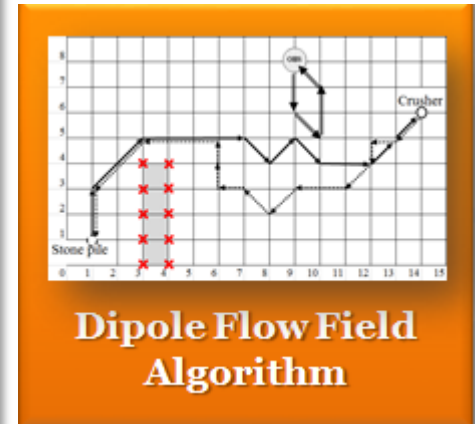
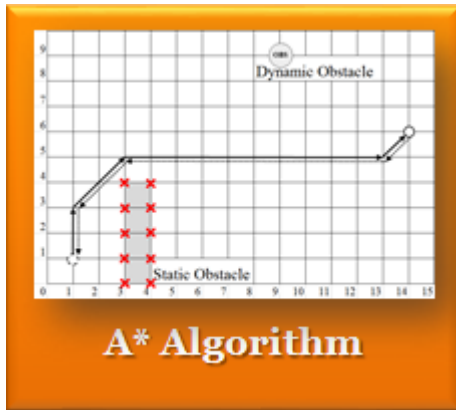
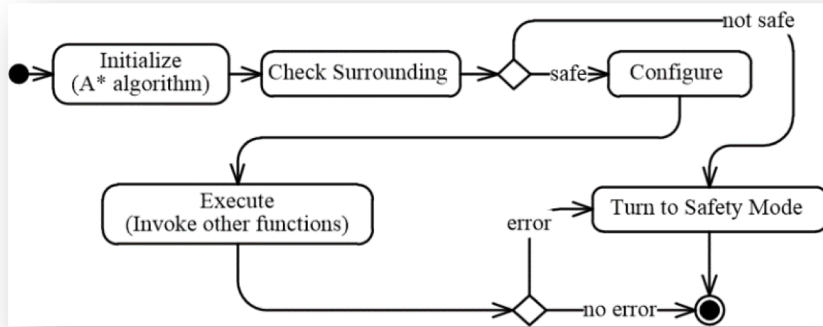


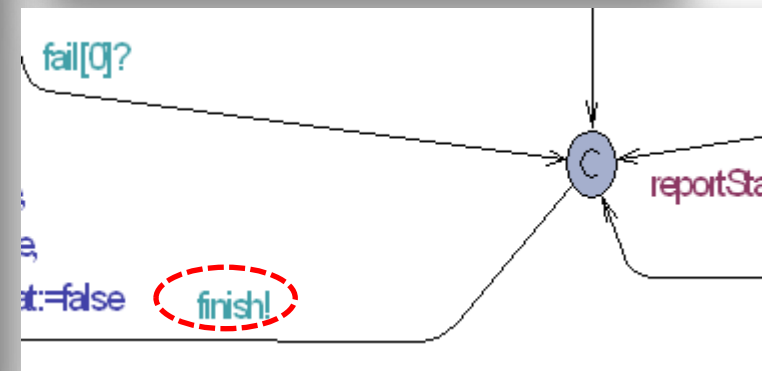
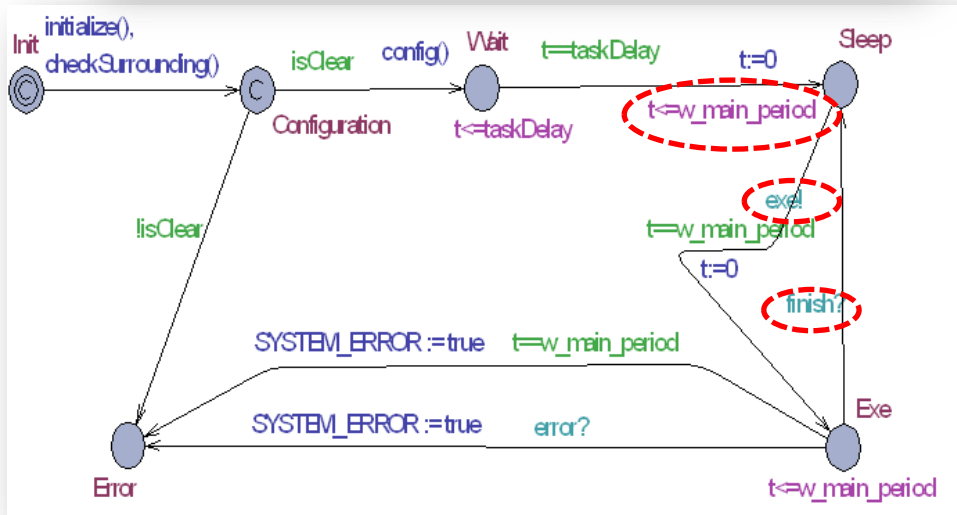
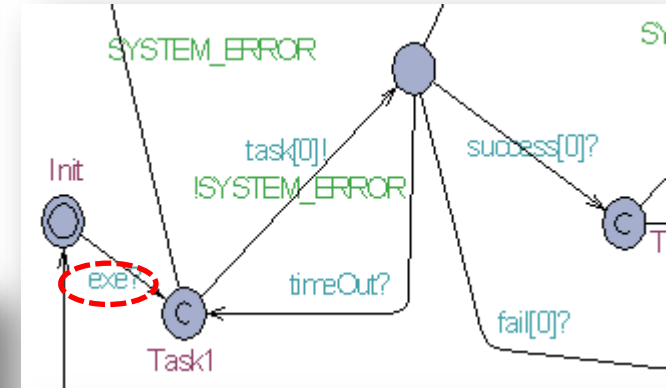
Figure: Model th

ons in TA

# Mapping activity diagrams to TA



## Activity Diagrams



(A) TA of Main Task

(B) TA of Execution Function

## Timed Automata (TA) in UPPAAL

# Overview of the system model

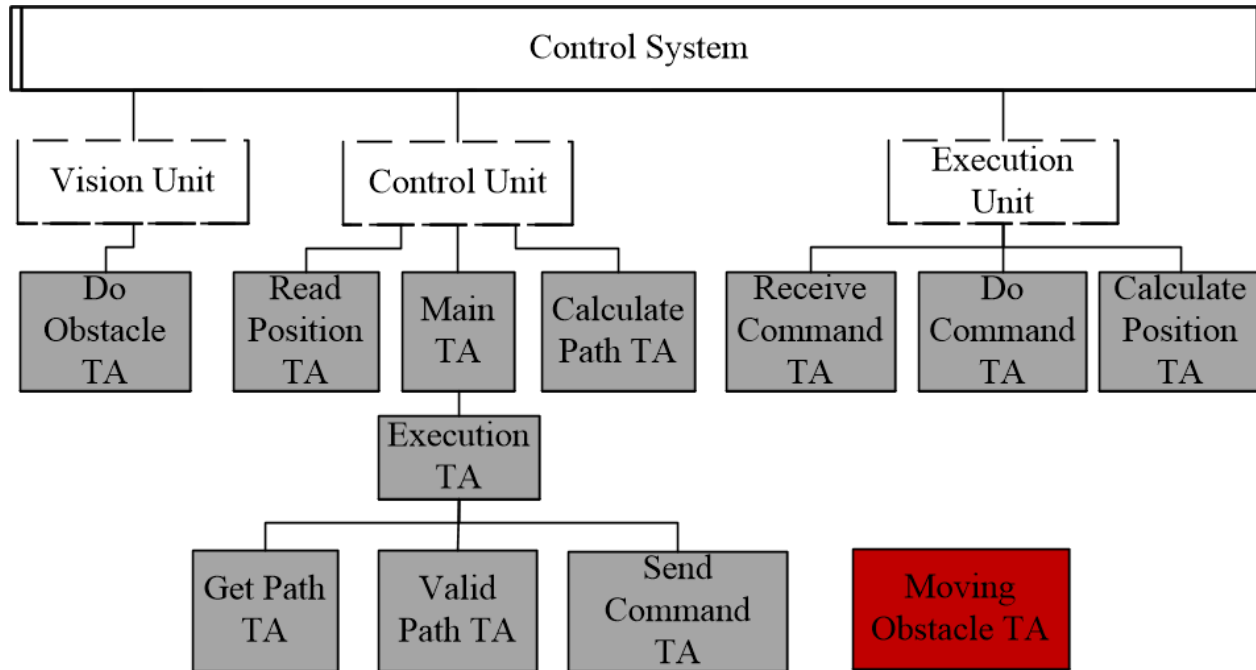
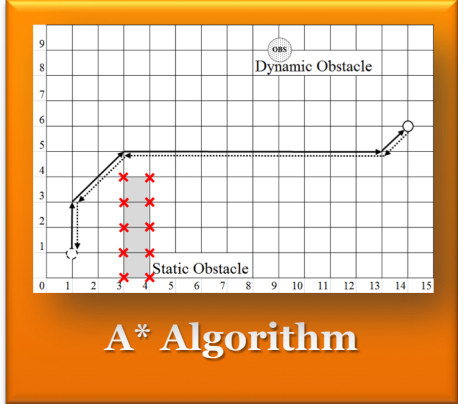


Figure 1: Tasks and functions, unit for system moving obstacle



# Modeling the path-planning and collision-avoidance algorithms



A\* Algorithm

```

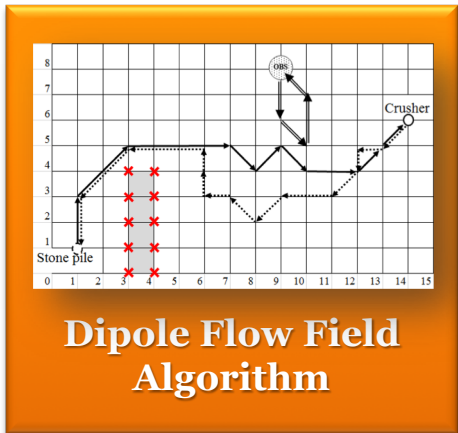
void AStart()
{
    Point ts, ps;
    int i, j;
    bool findEnd=false;

    insert(open, start);

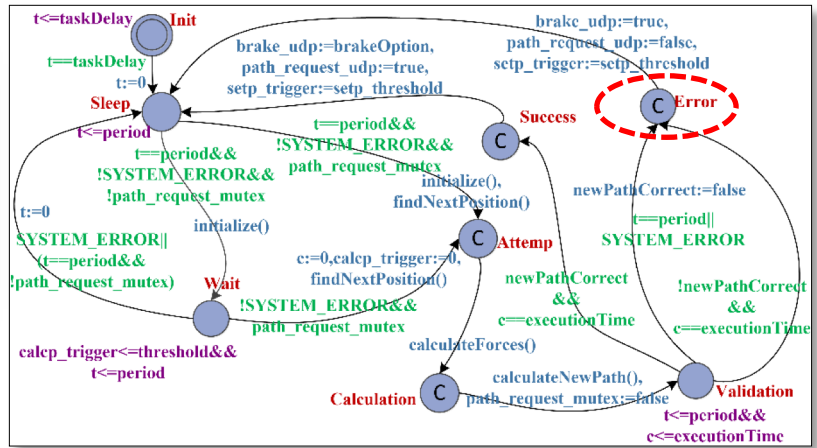
    while ((open.listLen != 0) && (!findEnd))

```

## C-code functions

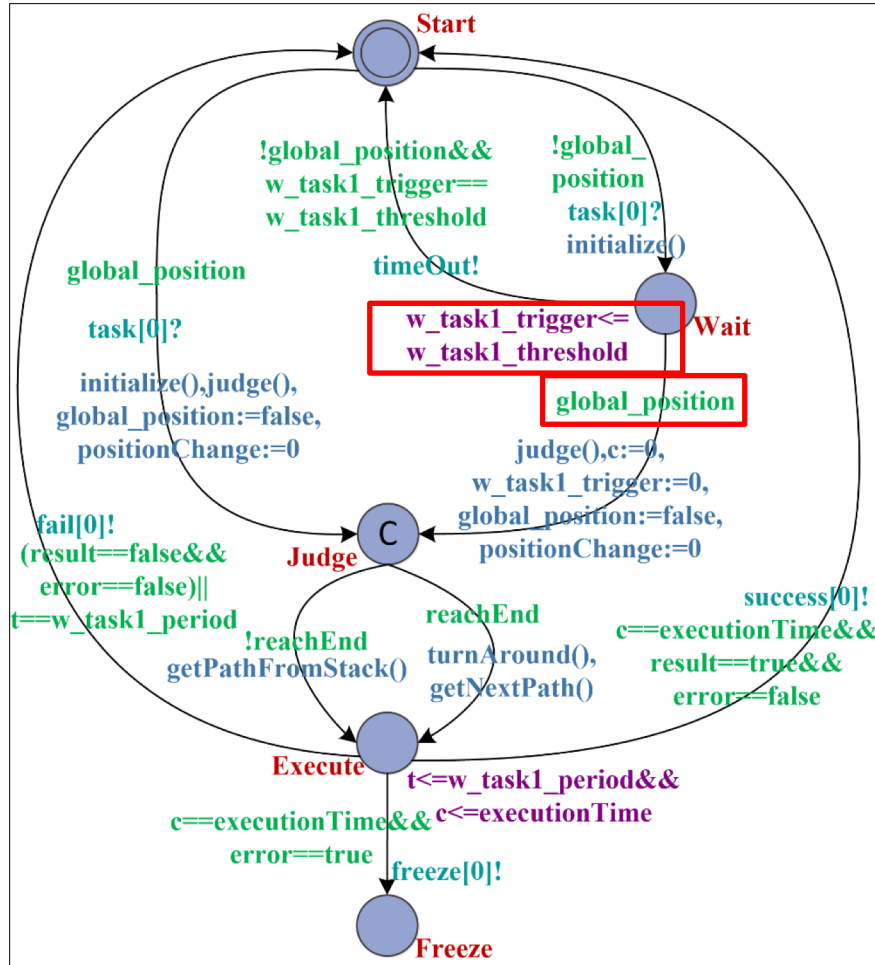


Dipole Flow Field Algorithm



## TA

# Model for data communication



Data communication between tasks: global variables, clocks/channels:

- Crucial signals: channels, e.g., **freeze**, **fail**, etc.
- Asynchronous signals: clocks, e.g., **w\_task1\_trigger <= w\_task1\_threshold**.

Figure 13: a TA of a task waiting for data “wheel loader’s position” from another task



# Formal Verification - Initial Path Computation

**Initial Path Computation:** during initialization, an AWL must compute an initial path to the destination, which ought to avoid all the static obstacles identified in the quarry



Formalize requirement

Query

Q1.0:  $E \langle \rangle \text{mainTask.Wait}$

Q1.1:  $A \langle \rangle \text{mainTask.Wait} \text{ imply } \text{lenOfPathStack} > 0$

Q1.2:  $E \langle \rangle \text{currentPosition} == \text{pile} \text{ and } \text{destination} == \text{crusher}$

Q1.3:  $(\text{currentPosition} == \text{pile} \text{ and } \text{destination} == \text{crusher}) \rightarrow \text{currentPosition} == \text{crusher}$

Q1.4:  $E \langle \rangle \text{currentPosition} == \text{crusher} \text{ and } \text{destination} == \text{pile}$

Q1.5:  $(\text{currentPosition} == \text{crusher} \text{ and } \text{destination} == \text{pile}) \rightarrow \text{currentPosition} == \text{pile}$

Q1.6:  $A[] \text{ forall}(i:\text{int}[0,9]) \text{ currentPosition} \neq \text{staticObstacle}[i]$

# Formal Verification - Obstacle Avoidance

**Obstacle Avoidance:** AWLs must avoid static and dynamic objects around them in due time before returning to the initial path



Formalize requirement

Q2.0:  $A[] \text{ currentPosition} \neq \text{currentObstacle}$

Q1.3:  $(\text{currentPosition} == \text{pile} \text{ and } \text{destination} == \text{crusher}) \rightarrow \text{currentPosition} == \text{crusher}$

Q1.4:  $E \langle \rangle \text{ currentPosition} == \text{crusher} \text{ and } \text{destination} == \text{pile}$

Q1.5:  $(\text{currentPosition} == \text{crusher} \text{ and } \text{destination} == \text{pile}) \rightarrow \text{currentPosition} == \text{pile}$

# Formal Verification – Reaction to Errors

**Mode Switch Mode A:** if the information of obstacles cannot be reported to the control unit, which is very dangerous, AWL must freeze its motion within 20 time units.



Formalize requirement



Q3.1:  $E \langle \rangle \text{errorStart} == \text{true}$



Q3.2:  $\text{error\_start} == \text{true} \rightarrow (\text{SYSTEM\_ERROR} == \text{true} \text{ and } \text{reaction\_time} \leq 20)$

# Formal Verification - End-to-end Deadline

**End-to-end Deadline:** to guarantee a certain productivity, AWLs must finish one cruise within 2200 time units.



Formalize requirement

Q4.0:  $(\text{currentPosition} == \text{pile} \text{ and } \text{destination} == \text{crusher}) \rightarrow (\text{currentPosition} == \text{pile} \text{ and } \text{destination} == \text{pile} \text{ and } \text{gClock} \leq 2200)$

# Formal Verification - results

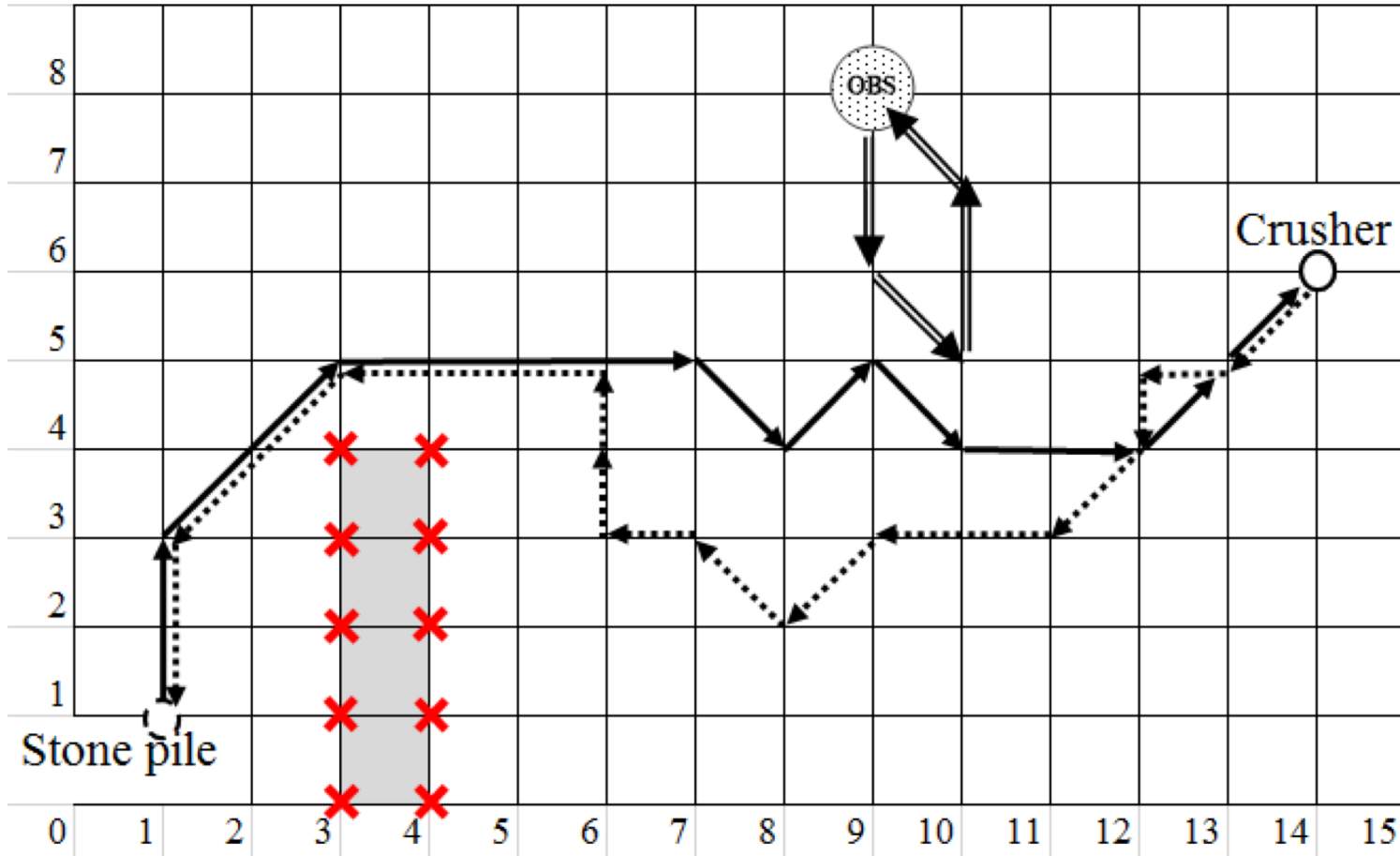
Table 1: Verification queries and results

Requirement	Query	Result	States explored	Time
Initial path computation	Q1.0: E<> mainTask.Wait	Pass	2	110 ms
	Q1.1: A<> mainTask.Wait imply lenOfPathStack > 0	Pass	8780	484 ms
	Q1.2: E<> currentPosition == pile and destination == crusher	Pass	1	0 ms
	Q1.3: (currentPosition == pile and destination == crusher) -> currentPosition == crusher	Pass	14191	1125 ms
	Q1.4: E<> currentPosition == crusher and destination == pile	Pass	2339	297 ms
	Q1.5: (currentPosition == crusher and destination == pile) -> currentPosition == pile	Pass	14204	782 ms
	Q1.6: A[] forall(i:int[0,9]) currentPosition != staticObstacle[i]	Pass	8780	485 ms
Obstacle avoidance	Q2.0: A[] currentPosition != currentObstacle	Pass	125941	6297 ms
	Q1.3: (currentPosition == pile and destination == crusher) -> currentPosition == crusher	Pass	227646	13969 ms
	Q1.4: E<> currentPosition == crusher and destination == pile	Pass	2678	375 ms
	Q1.5: (currentPosition == crusher and destination == pile) -> currentPosition == pile	Pass	192406	10656 ms
Mode switch: error A	Q3.1: E<> errorStart == true	Pass	30	234 ms
	Q3.2: error_start==true -> (SYSTEM_ERROR==true and reaction_time<=20)	Pass	91	250 ms
Mode switch: error B	Q3.1: E<> errorStart == true	Pass	29	234 ms
	Q3.2: error_start==true -> (SYSTEM_ERROR==true and reaction_time<=15)	Pass	320	266 ms
End-to-end deadline	Q4.0: (currentPosition==pile and destination==crusher) -> (currentPosition==pile and destination==pile and gClock <= 2200)	Pass	590326	36641 ms

**PASS**

36641 ms

# What else did we observe?





# Conclusion

- We have created a formal model of an industrial prototype of an AWL and its working environment
  - a discrete map and a TA for a moving obstacle
  - 11 TA for algorithms and tasks in the control system
  - encoded computations in the C-code functions of TA
- We have verified the system model and the algorithms against AWL's requirements
  - functional requirements
  - timing requirements
- Counter-examples found by exhaustive verification are helpful for future optimization of system design and algorithms.



# Lessons learned and future work

- Lack of floating-point value support in UPPAAL
  - More accurate path-planning and collision-avoidance algorithms need real numbers
  - UPPAAL model only supports integers
- Limitations of Dipole Flow Field algorithm applied in collision avoidance
- Hierarchical verification model/method is needed for more complex system model
  - Discrete model and exhaustive verification: decision-making component
  - Continuous model and statistical verification: real-valued map and dynamics, any-angle path, etc.

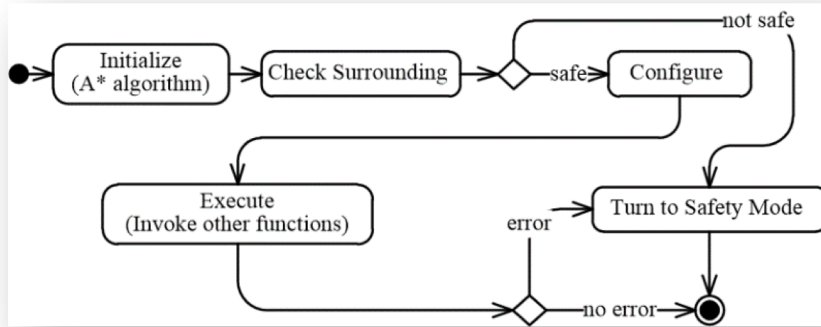


**Thank you for  
listening!**

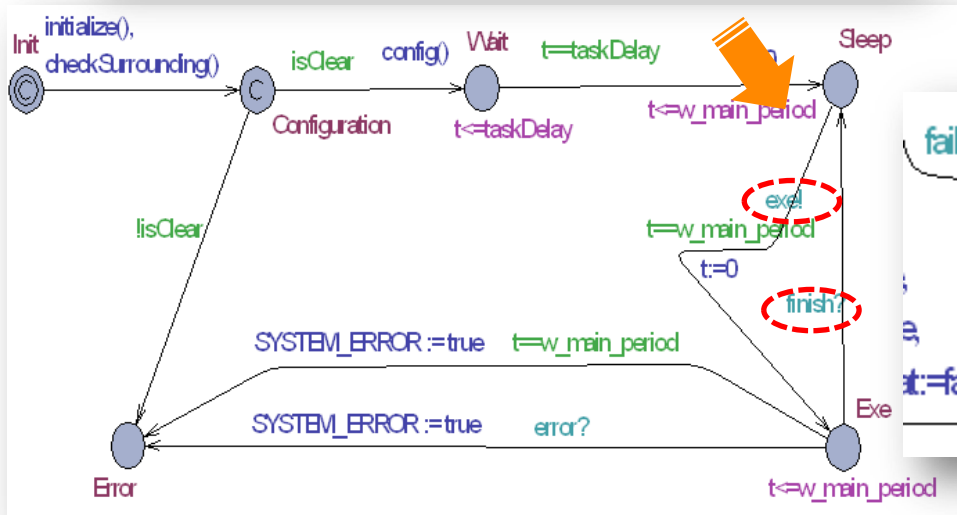
Rong Gu ([rong.gu@mdh.se](mailto:rong.gu@mdh.se))



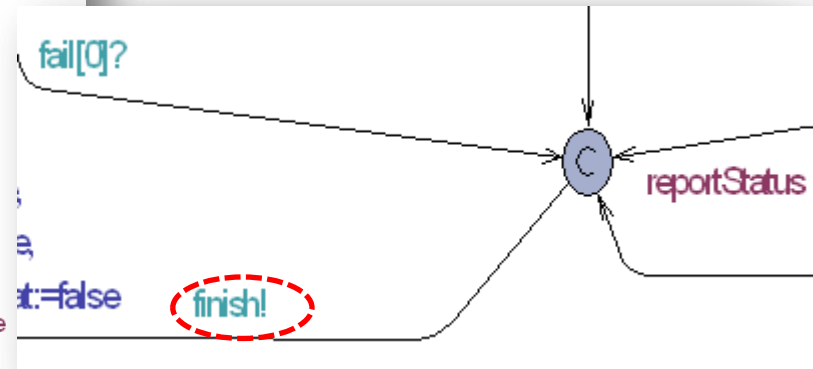
# Mapping activity diagrams to TA



Activity Diagrams



(A) TA of Main Task



(B) TA of Execution Function

## Timed Automata (TA) in UPPAAL